

FEB 14 2006

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**TOTAL AMOUNT OF PAYMENT** (\$ 150.00)

**Complete if Known**

Application Number	10/617,828
Filing Date	July 14, 2003
First Named Inventor	A. NAGASAKA

Attorney Docket No. ASA-1141

**METHOD OF PAYMENT** (check all that apply)

**FEE CALCULATION (continued)**

Check  Credit Card  Money Order  Other  None

Deposit Account:

Deposit	50-1417
Account Number	
Deposit Account Name	MATTINGLY, STANGER & MALUR, P.C.

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application.

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEES CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee	Fee Code (\$)	Small Entity Fee	Fee Code (\$)	Fee Description
1011 300	2011	150	1051 130	Utility filing fee
1012 200	2012	105	1052 50	Design filing fee
1013 200	2013	100	1053 130	Plant filing fee
1014 300	2014	150	1081 250	Reissue filing fee
1005 200	2005	100	1082 250	Provisional filing fee
1111 500	2111	250	1812 2,520	Utility Search fee
1112 100	2112	50	1804 920*	Design Search fee
1113 300	2113	150	1805 1,840	Plant Search fee
1114 500	2114	250	1251 120	Reissue Search fee
1311 200	2311	100	1252 450	Utility Ex. fee
1312 130	2312	65	1253 1020	Design Ex. fee
1313 160	2313	80	1254 1,590	Plant Ex. fee
1314 600	2314	300	1255 2,160	Reissue Ex. fee
<b>SUBTOTAL (1)</b>				<b>0.00</b>

**Fee Paid**

**3. ADDITIONAL FEES**

**Large Entity** **Small Entity**

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description
1051 130	2051	65		Surcharge – late filing fee or oath
1052 50	2052	25		Surcharge – late provisional filing fee or cover sheet
1053 130	1053	130		Non-English specification
1081 250	2081	125		Utility Application Size fee – for each 50 over 100
1082 250	2082	125		Design Application Size fee – for each 50 over 100
1812 2,520	1812	2,520		For filing a request for ex parte reexamination
1804 920*	1804	920*		Requesting publication of SIR prior to Examination action
1805 1,840	1805	1,840*		Requesting publication of SIR after Examiner action
1251 120	2251	60		Extension for reply within first month
1252 450	2252	225		Extension for reply within second month
1253 1020	2253	510		Extension for reply within third month
1254 1,590	2254	795		Extension for reply within fourth month
1255 2,160	2255	1,080		Extension for reply within fifth month
1401 500	2401	250		Notice of Appeal
1402 500	2402	250		Filing a brief in support of an appeal
1403 1000	2403	500		Request for oral hearing
1451 1,510	1451	1,510		Petition to institute a public use proceeding
1452 500	2452	250		Petition to revive – unavoidable
1453 1,500	2453	750		Petition to revive – unintentional
1501 1,400	2501	700		Utility issue fee (or reissue)
1502 800	2502	400		Design issue fee
1503 1,100	2503	550		Plant issue fee
1460 130	1460	130		Petitions to the Commissioner
1807 50	1807	50		Processing fee under 37 CFR 1.17(q)
1806 180	1806	180		Submission of Information Disclosure Stmt
8021 40	8021	40		Recording each patent assignment per property (times number of properties)
1809 790	2809	395		Filing a submission after final rejection (37 CFR § 1.129(a))
1810 790	2810	395		For each additional invention to be examined (37 CFR § 1.129(b))
1801 790	2801	395		Request for Continued Examination (RCE)
1802 900	1802	900		Request for expedited examination of a design application.
<b>Other fee (specify)</b>				
<b>SUBTOTAL (3) (\$)</b>				

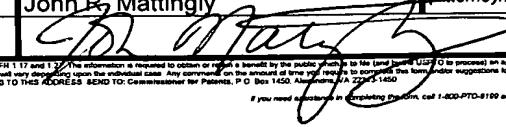
\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

**0.00**

**Complete (if applicable)**

**SUBMITTED BY**

Name (Print/Type)	John R. Mattingly	Registration No. (Attorney/Agent)	30,293	Telephone	(703) 684-1120
Signature				Date	February 14, 2006

This collection of information is required by 37 CFR 1.17 and 1.18. The information is required to obtain or retain a benefit by the public which is made available to the public to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the information. (This estimate does not include the time for reviewing instructions, collecting the information, and completing and reviewing the collection of information.)

If you need assistance in completing this form, call 1-800-PTO-8199 and select option 2.



ASA-1141

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/617,828 Confirmation No. 5222  
Applicant : A. NAGASAKA et al.  
Filed : July 14, 2003  
Titled : PERSONAL AUTHENTICATION DEVICE  
TC/AU : 2627  
Examiner : C.M. Larose  
Customer No.: 24956

AMENDMENT

**MAIL STOP: AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action of November 14, 2005, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper; and

**Amendments to the Drawings** begin on page 8 of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

**Remarks** begin on page 9 of this paper.

**Appendix** including (replacement drawing figures) is attached following page 11 of this paper.

02/15/2006 KAL111 00000071 10617820

01 FEB 2006

150.00 CV